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PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/361,782
	Filing Date	7/26/1999
	First Named Inventor	Brian J. Deen
	Group Art Unit	2176
	Examiner Name	ADAM M QUELER
Total Number of Pages in This Submission	Attorney Docket Number	MS1-390US

ENCLOSURES (check all that apply)

- | | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee Attached

<input checked="" type="checkbox"/> Amendment / Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)

<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Documents
<input type="checkbox"/> Response to Missing Parts/Incomplete Application

<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence Address
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<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (<i>Appeal Notice, Brief, Reply Brief</i>)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
PTO Return Postcard Receipt |
|--|---|---|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	Lawrence E. Lycke/Reg. No. 38540
Signature	<i>Lawrence E. Lycke</i>
Date	11/18/04

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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Typed or printed name	Pam M. Prellwitz		
Signature	<i>Pam M. Prellwitz</i>	Date	11/18/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-04)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL
for FY 2005**

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**0.00****Complete if Known**

Application Number	09/361,782
Filing Date	7/26/1999
First Named Inventor	Brian J. Deen
Examiner Name	ADAM M QUELER
Art Unit	2176
Attorney Docket No.	MS1-390US

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number	12-0769
Deposit Account Name	Lee & Hayes, PLLC

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001	790	2001	395 Utility filing fee	
1002	350	2002	175 Design filing fee	
1003	550	2003	275 Plant filing fee	
1004	790	2004	395 Reissue filing fee	
1005	160	2005	80 Provisional filing fee	
SUBTOTAL (1)				0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20 =	x 18 =	
Indep. Claims	-3 =	x 88 =	
Multiple Dependent		=	

Large Entity Fee Code	Small Entity Fee Code	Fee Description
1202	18	2202 9 Claims in excess of 20
1201	88	2201 44 Independent claims in excess of 3
1203	300	2203 150 Multiple dependent claim, if not paid
1204	88	2204 44 ** Reissue independent claims over original patent
1205	18	2205 9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) \$		0.00

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee Description
1051	130	2051 65 Surcharge - late filing fee or oath
1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet
1053	130	1053 130 Non-English specification
1812	2,520	1812 2,520 For filing a request for ex parte reexamination
1804	920*	1804 920* Requesting publication of SIR prior to Examination action
1805	1,840*	1805 1,840* Requesting publication of SIR after Examiner action
1251	110	2251 55 Extension for reply within first month
1252	430	2252 215 Extension for reply within second month
1253	980	2253 490 Extension for reply within third month
1254	1,530	2254 765 Extension for reply within fourth month
1255	2,080	2255 1,040 Extension for reply within fifth month
1401	340	2401 170 Notice of Appeal
1402	340	2402 170 Filing a brief in support of an appeal
1403	300	2403 150 Request for oral hearing
1451	1,510	1451 1,510 Petition to institute a public use proceeding
1452	110	2452 55 Petition to revive - unavoidable
1453	1,330	2453 665 Petition to revive - unintentional
1501	1,370	2501 685 Utility issue fee (or reissue)
1502	490	2502 245 Design issue fee
1503	660	2503 330 Plant issue fee
1460	130	1460 130 Petitions to the Commissioner
1807	50	1807 50 Processing fee under 37 CFR 1.17(q)
1806	180	1806 180 Submission of Information Disclosure Stmt
8021	40	8021 40 Recording each patent assignment per property (times number of properties)
1809	790	2809 395 Filing a submission after final rejection (37 CFR § 1.129(a))
1810	790	2810 395 For each additional invention to be examined (37 CFR § 1.129(b))
1801	790	2801 395 Request for Continued Examination (RCE)
1802	900	1802 900 Request for expedited examination of a design application.

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

0.00

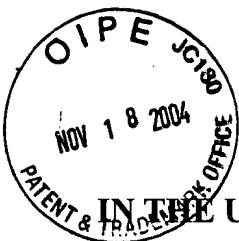
SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)	Lawrence E. Lycke	Registration No. (Attorney/Agent)	38540	Telephone	206-315-4001
Signature		Date	11/18/04		

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11-19-04

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2176
JFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No.09/361,782
Filing Date7/26/1999
Inventorship.....Deen et al.
Applicant Microsoft Corporation
Group Art Unit2176
Examiner Queler
Attorney's Docket No. MS1-390US
Title: "Methods and Systems for Preparing Extensible Markup Language (XML)
Documents and for Responding to XML Requests"

RESPONSE TO OFFICE ACTION DATED SEPTEMBER 20, 2004

To: Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

From: Lawrence E. Lycke (Tel. 206-315-4001, ext 103; Fax 509-323-8979)
Customer No. 22801

In response to the Office Action mailed September 20, 2004, in connection
with the above-identified application, please consider the remarks set forth below.

Amendments to the Claims are reflected in the listing of claims that begins
on page 2 of this paper.

Remarks begin on page 14 of this paper.